

THOMAS W. BARNES, Ph.D  
PATENT AGENT  
INTELLECTUAL PROPERTY

INTERNATIONAL  PAPER

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May 11, 2006

Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

**RE: SUBMISSION OF INFORMATION DISCLOSURE STATEMENT**

**Applicant** : THOMAS A. FONTANA  
**Serial No.** : 10/654,661  
**Filed on** : SEPTEMBER 3, 2003  
**Title** : ROSIN PHENOLIC RESINS AND USES RELATED THERETO  
**Our Ref.** : ARZ-023635-11

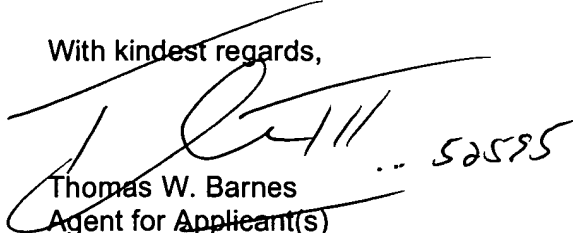
Dear Commissioner:

Enclosed herewith for filing, Applicant(s) respectfully submit(s) the following checked items:

- ☒ Information Disclosure Statement by Applicant(s), 2 sheet(s), to which Form PTO/SB/08, 3 sheet(s), has been attached along with a copy of each reference(s) cited thereon;
- ☐ Supplemental Information Disclosure Statement by Applicant(s), \_\_\_\_\_ sheet(s), to which Form PTO/SB/08, \_\_\_\_\_ sheet(s), has been attached along with a copy of each reference(s) cited thereon; and,
- ☒ a postage-prepaid, return-receipt postcard with a listing of the above-checked items.

Please stamp the enclosed postcard and return same to me to indicate your receipt of the above-listed items. Please feel free to contact me if you have any questions concerning the above or the enclosed.

With kindest regards,

  
Thomas W. Barnes  
Agent for Applicant(s)

Enclosures  
TWB/jat

**CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 C.F.R. § 1.8**

The undersigned hereby certifies that a true and accurate copy of the documents checked hereon, together with all attachments referred-to herein, is being transmitted to the Honorable Commissioner for Patents, either by first-class mail, postage prepaid, addressed to Commissioner for Patents, Post Office Box 1450, Alexandria, Virginia 22313-1450, or by facsimile transmission to the facsimile number indicated hereon, on this the 11th day of May, 20 06.

  
Jane A. Terhinson



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C., UNITED STATES OF AMERICA

In re Application of:

Applicant(s): THOMAS A. FONTANA

Serial No.: 10/654,661

Filing Date: SEPTEMBER 3, 2003

Entitled: ROSIN PHENOLIC RESINS AND USES  
RELATED THERETO

Examiner: S. A. ACQUAH

Our File Ref.: ARZ-023635-I1

Group Art Unit: 1711

05/16/2006 FFANAEIA 00000062 090525 10654661

01 FC:1806 180.00 DA

**INFORMATION DISCLOSURE STATEMENT  
SUBMITTED PURSUANT TO 37 C.F.R. 1.97(c)(2)  
AND AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT**

Commissioner for Patents  
United States Patent and Trademark Office  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

Dear Commissioner:

In accordance with the duty of disclosure under 37 C.F.R. 1.56, Applicant(s) hereby notifies the U.S. Patent and Trademark Office of certain documents which the Examiner might deem relevant to the issue of patentability of the claims pending in the above-referenced patent application. Enclosed herewith please find Form PTO/SB/08, Information Disclosure Statement by Applicant, 3 sheet(s), and a copy of each reference cited thereon.

This Information Disclosure Statement is filed after the period specified in 37 C.F.R. 1.97(b) but before the mailing date of a final action under 37 C.F.R. 1.113 and before the mailing of a notice of allowance under 37 C.F.R. 1.311 and before the mailing of an action that otherwise closes prosecution of the above-referenced patent application.

The undersigned counsel hereby authorizes the Office to charge counsel's deposit account no. 09-0525 in the amount of 180.00, that being the fee required under 37

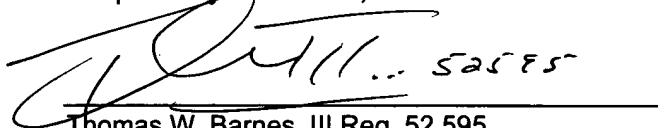
C.F.R. 1.17(p), plus any deficiency, and authorizes the Office to credit back to said deposit account any overpayment made hereby. Applicant(s) respectfully requests pursuant to 37 C.F.R. 1.97(c)(2) that the Examiner considers each of the references disclosed herewith.

The submission of the documents enclosed herewith is not intended as an admission that such documents constitute prior art under Title 35 of the United States Code, as against the claims of the above-referenced patent application. Applicant(s) does not waive any right to take action that would be appropriate to antedate or otherwise overcome the use of any document submitted herewith as prior art under Title 35 of the United States Code.

Applicant(s) respectfully requests that the Examiner initial each of the references listed on the attached Form PTO/SB/08 and return same to the undersigned attorney in accordance with MPEP 609.

Should the Examiner have any questions relating to the submission of the within Information Disclosure Statement or relating to the copies submitted therewith, Applicant(s) respectfully request that the Examiner contact the undersigned counsel, who can be reached at the telephone numbers listed below.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "T. Barnes, III", is written over a horizontal line. To the right of the signature, the number "52585" is handwritten.

Date: May 11, 2006

Thomas W. Barnes, III Reg, 52,595  
Counsel for Applicant  
International Paper Company  
6285 Tri-Ridge Boulevard  
Loveland, Ohio 45140  
513.248.6000 telephone  
513.248.6455 facsimile



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

**Complete if Known**

Application Number	10/654,661
Filing Date	SEPTEMBER 3, 2003
First Named Inventor	THOMAS A. FONTANA
Art Unit	1711
Examiner Name	SAMUEL A. ACQUAH
Attorney Docket Number	ARZ-023635-11

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A	US- 2,007,983	07-16-1935		
	B	US- 2,101,944	12-14-1937		
	C	US- 2,309,610	01-26-1943		
	D	US- 2,572,071	10-23-1951		
	E	US- 2,750,296	06-12-1956		
	F	US- 2,848,430	08-19-1958		
	G	US- 3,531,302	09-29-1970		
	H	US- 3,674,732	07-04-1972		
	I	US- 3,880,788	04-29-1975		
	J	US- 4,079,102	03-14-1978		
	K	US- 4,198,329	04-15-1980		
	L	US- 4,391,640	07-05-1983		
	M	US- 4,528,036	07-09-1985		
	N	US- 4,552,592	11-12-1985		
	O	US- 4,597,793	07-01-1986		
	P	US- 4,639,492	01-27-1987		
	Q	US- 4,725,384	02-16-1988		
	R	US- 4,857,624	08-15-1989		
	S	US- 4,966,945	10-30-1990		

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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(Use as many sheets as necessary)

Sheet

2

of

3

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		Number-Kind Code <sup>2</sup> (if known)			
	T	US- 5,021,538	06-04-1991		
	U	US- 5,405,932	04-11-1995		
	V	US- 5,423,908	06-13-1995		
	W	US- 5,427,612	06-27-1995		
	X	US- 5,498,684	03-12-1996		
	Y	US- 5,549,741	08-27-1996		
	Z	US- 5,556,454	09-17-1996		
	A1	US- 5,597,884	01-28-1997		
	A2	US- 5,698,668	12-16-1997		
	A3	US- 5,708,078	01-13-1998		
	A4	US- 5,886,128	03-23-1999		
	A5	US- 5,969,071	10-19-1999		
	A6	US- 6,022,947	02-08-2000		
	A7	US- 6,153,693	11-28-2000		
	A8	US- 6,172,174	01-09-2001		
	A9	US- 6,469,124	10-22-2002		
	B1	US- 6,469,125	10-22-2002		
	B2	US- 04/0181026	09-16-2004		
	B3	US-			

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	F1	EP 0 066 294	12-08-1982			
	F2	EP 0 733 684	03-17-1995			
	F3	EP 0 733 685	03-17-1995			
	F4	EP 0 955 325	11-10-1999			
	F5	JP-62-260810	11-13-1987			

Examiner  
SignatureDate  
Considered

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**(Use as many sheets as necessary)**

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Attorney Docket Number	ARZ-023635-11

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## FOREIGN PATENT DOCUMENTS

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		Country Code <sup>3</sup> ~Number <sup>4</sup> ~Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
		EP 0 362 727	01-18-1995			
		EP 0 649 889	10-18-1993			
		WO 98/58002	12-23-1998			
		WO 03/082984	10-09-2003			

Examiner Signature		Date Considered	
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